



FSCA FAIS Notice 24 of 2023

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

LISTS OF QUALIFICATIONS RECOGNISED FOR FINANCIAL SERVICES PROVIDERS, KEY INDIVIDUALS AND REPRESENTATIVES, 2023

The Financial Sector Conduct Authority hereby publishes the qualifications recognised in terms of section 24 of the Determination of Fit and Proper Requirements for Financial Services Providers, 2017 (“Determination”), and deemed to be recognised in terms of section 52(2) of the Determination, for financial services providers, key individuals and representatives as set out in the Schedule.

**KATHERINE GIBSON
DEPUTY COMMISSIONER
FINANCIAL SECTOR CONDUCT AUTHORITY**

Date of publication: 11 May 2023

SCHEDULE

LISTS OF QUALIFICATIONS RECOGNISED FOR FINANCIAL SERVICES PROVIDERS, KEY INDIVIDUALS AND REPRESENTATIVES, 2023

1. Definitions

In this Schedule, unless the context otherwise indicates -

“**Authority**” means the Financial Sector Conduct Authority as defined in section 1(1) of the Financial Sector Regulation Act, 2017 (Act No. 9 of 2017);

“**transitional period**” means the period 30 September 2004 to 31 December 2009;

“**unit standard**” has the meaning assigned to it in section 1 of the National Standards Bodies Regulations, 1998, published in Government *Gazette* No 18787 of 28 March 1998 under section 14 of the South African Qualifications Authority Act, 1995 (Act No. 58 of 1995).

2. Lists of recognised qualifications

The Authority hereby publishes the recognised qualifications in the lists attached to this Schedule and set out in Table A below:

Table A	
List	Description
1.	Qualifications recognised for Category I FSPs
4.	Qualifications recognised for Category II & IIA FSPs
5.	Qualifications recognised for Category III FSPs

3. Amendment and withdrawal of notice

This Notice is subject to -

- (1) amendment thereof published by the Authority by notice on the website of the Authority;
and
- (2) withdrawal in a like manner.

4. Repeal

List 1, 4 and 5 of Qualifications Recognised for Financial Services Providers, Key Individuals and Representatives, 2021, published by FAIS Notice 56 of 2021 is hereby repealed.

5. Short title and commencement

This Notice is called Lists of Qualifications Recognised for Financial Services Providers, Key Individuals and Representatives, 2023, and comes into operation on date of publication.